Docket No.: SAEG116.003AUS **Customer No. 20,995**

OIPE IAP12
AUG 16 2006
PATENT & TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

Applicant	:	Hiroshi YOSHIDA, et al.
App. No	:	10/680,919
Filed	:	October 8, 2003
For	:	METHOD FO RPRODUCING ALPHA - HYDROXYCARBOXYLATE
Examiner	:	Paul A Zucker
Art Unit	:	1621

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 15, 2006
(Date)

Katsuhiro Arai, Reg. No. 43,315

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing four (4) references to be considered by the Examiner. Also enclosed are three (3) foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed after the mailing date of a final action or after the mailing date of a Notice of Allowance. This Statement is accompanied by the fee set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

08/18/2006 CNGUYEN 00000006 10680919
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Dated: August 15, 2006

By: _____
Katsuhiro Arai
Registration No. 43,315
Attorney of Record
Customer No. 20,995
(949) 760-0404

2838364 081506

Please Direct All Correspondence to Customer Number **20995**

TRANSMITTAL LETTER

INFORMATION DISCLOSURE STATEMENT

Applicant	:	Hiroshi YOSHIDA, et al.
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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

(X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:

- (X) Listing four (4) references.
- (X) Enclosing three (3) references.

(X) A check in the amount of $180 to cover the above fee is enclosed.

(X) A copy of Communication dated July 4, 2006.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

(X) Return prepaid postcard.

Katsuhiro Arai
Registration No. 43,315
Attorney of Record
Customer No. 20,995
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PTO/SB/08 Equivalent

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		
	Application No.	10/680,919
	Filing Date	October 8, 2003
	First Named Inventor	Hiroshi YOSHIDA
	Art Unit	1621
(Multiple sheets used when necessary)	Examiner	Paul A Zucker
SHEET 1 OF 1	Attorney Docket No.	SAEG116.003AUS

OIPE
AUG 18 2006
PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number *Number - Kind Code (if known)* Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1.	2003/0060655 A1	03/27/2003	Hayashi, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document *Country Code-Number-Kind Code* Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T[1]
	2.	JP 07 – 173264 A	07/11/1995	Mitsui Toatsu Chem Inc.	(English Abstract Only)	X
	3.	JP 11 – 292825 A	10/26/1999	Mitsubishi Gas Chem Co Inc	(English Abstract Only)	X

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[1]
	4.	S. Biella, et al., "Application of gold catalysts to selective liquid phase oxidation," Catalysis Today, 72 (2002) pp. 43-49	X

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Examiner Signature	Date Considered

***Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T[1] - Place a check mark in this area when an English language Translation is attached.